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# Individual Retirement Account (IRA) Packet

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This packet contains:

- DoubleLine Funds IRA Application
- U.S. Bank, N.A. IRA Custodian Application

**Both applications must be completed, signed and returned to U.S. Bank Global Fund Services to establish your IRA.**

**Regular Mail:**  
DoubleLine Funds  
c/o U.S. Bank Global Fund Services  
PO Box 701  
Milwaukee, WI 53201-0701

**Overnight Delivery:**  
DoubleLine Funds  
c/o U.S. Bank Global Fund Services  
615 E. Michigan St., FL 3  
Milwaukee, WI 53202-5207

**For additional information please call toll-free 877-DLINE11 (877-354-6311)  
or visit us on the web at [www.doubleline.com](http://www.doubleline.com).**

In compliance with the USA PATRIOT Act, all financial institutions (including mutual funds) are required to obtain, verify and record the following information for all registered owners or others who may be authorized to act on an account: **full name, date of birth, Social Security number and permanent street address**. This information will be used to verify your true identity. We will return your application if any of this information is missing, and we may request additional information from you for verification purposes. In the rare event that we are unable to verify your identity, the Fund reserves the right to redeem your account at the current day's net asset value.

## 1 Type of IRA

If no tax year is indicated, we will assume it is for the current tax year. Refer to disclosure statement for eligibility requirements and contribution limits.

**Choose ONE of the following account types:**

**Traditional IRA**

- For tax year \_\_\_\_\_
- IRA to IRA Transfer - please complete an IRA Transfer Form.
- Rollover - you have receipt of your funds.
- Inherited IRA \*- Name of Decedent \_\_\_\_\_ Date of Death \_\_\_\_\_ Date of Birth \_\_\_\_\_

**IRA Rollover**

- Rollover IRA to Rollover IRA
- Direct Rollover from qualified plan – complete any additional form(s) required by your Plan Administrator.  
Please check the type of qualified plan:  
 Corporate  Pension  Profit Sharing Plan  401(k)  403(b)  Other \_\_\_\_\_

**ROTH IRA**

- For tax year \_\_\_\_\_
- Roth IRA to Roth IRA Transfer (please complete IRA Transfer Form)
- Traditional IRA Conversion to Roth IRA – year of conversion \_\_\_\_\_ in which Traditional IRA was converted to Roth IRA
- Rollover from Roth IRA (shareholder had receipt of funds)
- Inherited Roth IRA\* - Name of Decedent \_\_\_\_\_ Date of Death \_\_\_\_\_ Date of Birth \_\_\_\_\_

**SEP (Simplified Employee Pension Plan) – Each employee must complete an IRA Application.**

- Contribution
- Transfer from another SEP IRA
- Rollover (shareholder had receipt of funds)

**SIMPLE IRA (Be sure to complete SIMPLE IRA Plans section)**

- Contribution
- Transfer from another SIMPLE IRA
- Rollover (shareholder had receipt of funds)

**\*If the Inherited IRA is opened in the name of a trust or the decedent's estate, additional documentation may be required.**

## 2 Investor Information

<input type="text"/>	<input type="text"/>	<input type="text"/>
FULL LEGAL FIRST NAME*	M.I.	LAST NAME
<input type="text"/>	<input type="text"/>	<input type="text"/>
SOCIAL SECURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	

\*If a full legal first name is not provided, a copy of a government issued document is required to accompany this application.

## 3 Address

### Permanent Street Address

Residential Address or Principal Place of Business - Foreign addresses and PO Boxes are not allowed.

<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE
<input type="text"/>	<input type="text"/>	
DAYTIME PHONE NUMBER	EVENING PHONE NUMBER	
<input type="text"/>		
E-MAIL ADDRESS		

### Duplicate Statement #1

Complete only if you wish someone other than the account owner(s) to receive duplicate statements.

<input type="text"/>		
COMPANY NAME		
<input type="text"/>		
NAME		
<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE

### Mailing Address\* (if different from Permanent Address)

If completed, this address will be used as the Address of Record for all statements, checks and required mailings. Foreign addresses are not allowed.

<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE

\* A PO Box may be used as the mailing address.

### Duplicate Statement #2

Complete only if you wish someone other than the account owner(s) to receive duplicate statements.

<input type="text"/>		
COMPANY NAME		
<input type="text"/>		
NAME		
<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE

## 4 Investment Options

**By check:** Make check payable to the DoubleLine Funds.

Note: All checks must be in U.S. Dollars drawn on a domestic bank. The Fund will not accept payment in cash or money orders. The Fund does not accept post dated checks or any conditional order or payment. To prevent check fraud, the Fund will not accept third party checks, Treasury checks, credit card checks, traveler's checks or starter checks for the purchase of shares.

**By wire:** Call 877-DLINE11 (877-354-6311).

Note: A completed application is required in advance of a wire.

**By transfer:** Due to rollover or beneficiary payout.

Note: Completion of IRA Transfer Form or Beneficiary Payout Form is required.

### Investment Amount

\$500 Minimum

A list of available fund names, TICKERs, and fund numbers can be found on the last page of this application.

Fund Selection	Investment \$ Amount
<input type="text"/>	<input type="text"/>
Fund Selection	Investment \$ Amount
<input type="text"/>	<input type="text"/>
Fund Selection	Investment \$ Amount
<input type="text"/>	<input type="text"/>
Fund Selection	Investment \$ Amount
<input type="text"/>	<input type="text"/>
Fund Selection	Investment \$ Amount
<input type="text"/>	<input type="text"/>

## 5 Investor Bank Information

For redemptions or other payments, attach a voided check or preprinted savings deposit slip. You may have the option to receive payments via Check, ACH, or Wire (additional fee may apply). We are unable to debit or credit mutual fund, or pass-through ("for further credit") accounts. Please contact your financial institution to determine if it participates in the Automated Clearing House System (ACH).

\*\*ACH proceeds are typically credited to your bank within two or three business days after the redemption. Wire proceeds are sent on the business day following your redemption for a \$15 fee.

If Section 5 is not completed, payment via check to address of record will be the only option.

Please indicate the method of payment these bank instructions can be used for (select one):

Only ACH    Only Wire\*    Both ACH and Wire\*

\*Payment via wire (\$15 fee) is available for redemptions only.

If you complete this section but do not select one of the options above, all options will be added to the account.

John Doe  
Jane Doe  
123 Main St.  
Anytown, USA 12345

53289

Pay to the order of \_\_\_\_\_ \$ \_\_\_\_\_

\_\_\_\_\_ DOLLARS

Memo \_\_\_\_\_ Signed \_\_\_\_\_

⑆ 1 2 3 4 5 6 7 8 9 ⑆    ⑆ 1 2 3 4 5 6 7 8 9 ⑆

If you are making your first investment in a Fund, before you wire funds, the transfer agent must have a completed New Account Application. You may mail or overnight deliver your New Account Application to the transfer agent. Upon receipt of your completed New Account Application, the transfer agent will establish an account for you. The shareholder account number assigned will be required as part of the instruction that should be provided to your bank to send the wire. Your bank must include both the name of the Fund you are purchasing, the shareholder account number, and the name on the account per the New Account Application so that monies can be correctly applied.

U.S. Bank, N.A.  
777 E. Wisconsin Avenue  
Milwaukee, WI 53202  
ABA No. 075000022  
Credit: U.S. Bancorp Fund Services, LLC  
Account No. 112-952-137  
Further Credit: DoubleLine Funds [Name of Fund and Share Class]  
(Shareholder Account Number, Shareholder Name)

Before sending your fed wire, please call the transfer agent at 877-DLine11 (877-354-6311) or contact your financial intermediary (if applicable) to advise them of the wire. This will ensure prompt and accurate credit to your account upon receipt of the fed wire. **Please note that the bank instructions used to issue a federal wire to fund your account must match the bank information provided with this new account application in order for the bank instructions to be established on your account for future use.**

Wired funds must be received prior to the close of trading on the NYSE (normally 4:00 p.m. Eastern Time) for the related purchase order to be eligible for same day pricing, except that orders provided in respect of advisory accounts (including other DoubleLine funds) managed by DoubleLine Capital or one of its related parties and orders provided by or through a broker-dealer or financial intermediary with whom the Funds (or their service providers) have a processing relationship may receive same day pricing so long as the related trade instructions are received timely. The Funds and U.S. Bank, N.A. are not responsible for the consequences of delays resulting from the banking or Federal Reserve wire system or from incomplete wire instructions.

**Note: If you make any changes to the bank instructions after the account has been established, you will be required to submit written documentation along with a signature guarantee from either a Medallion program member or a non-medallion program member. These include situations when the redemption proceeds are to be sent or payable to any person, address or bank account not on the Funds' record or if ownership is being changed on the account. This would also be required if a redemption request is received by the Transfer Agent and the account address has changed within the last 30 calendar days. More information appears in the Fund's statutory prospectus.**

## 6 Automatic Investment Plan (AIP)

Your signed application must be received up to 7 business days prior to initial transaction.

If you choose this option, funds will be automatically transferred from your bank account. Please complete Section 5. We are unable to debit mutual fund or pass-through ("for further credit") accounts.

**Draw money for my AIP (check one):**  Monthly  Quarterly  Semi-Annually  Annually

\$100 minimum

If no option is selected, the frequency will default to monthly.

Fund Selection	Amount Per Draw	AIP Start Month	AIP Start Day
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Selection	Amount Per Draw	AIP Start Month	AIP Start Day
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Selection	Amount Per Draw	AIP Start Month	AIP Start Day
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Selection	Amount Per Draw	AIP Start Month	AIP Start Day
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Selection	Amount Per Draw	AIP Start Month	AIP Start Day
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Please keep in mind that:

- There is a fee if the automatic purchase cannot be made (assessed by redeeming shares from your account).
- Participation in the plan will be terminated upon redemption of all shares.

## 7 Telephone and Internet Options

You automatically have the ability to make telephone and/or on-line Live Chat purchases\*, redemptions\*, or exchanges per the prospectus, unless you specifically decline below. See the prospectus for minimum and maximum amounts.

\*Reminder: Please complete Section 5.

Please check the box below if you wish to decline these options. If the options are not declined, you are acknowledging acceptance of these options.

I decline telephone and internet transaction privileges.

Should you wish to add the options at a later date, a signature guarantee may be required. Please refer to the prospectus or call our shareholder services department for more information.

## 8 E-Delivery Options and Investor Web Portal

I would like to:

- Receive account statements electronically
- Receive transaction confirmations electronically
- Receive tax forms electronically
- Receive prospectuses, annual reports, and semi-annual reports electronically

By selecting any of the above options, you will receive email notification to access your statements online. You agree to waive the physical delivery of applicable item(s). If you have opted to receive any of these items electronically, you will need to establish on-line access to your account, which can be done after your account has been established by visiting <https://doubleline.com/> and selecting "Mutual Fund Direct Account Access". There you can register as a new user or log in as an existing user.

You must provide your email address in Section 3 to enroll in e-Delivery.

### Benefits/features of on-line investor web portal:

- View account statements, transaction confirmations, tax forms, etc.
- Place trades
- View current account balance, historical balance, and transaction history
- Update certain account settings such as: beneficiaries, distribution options, cost basis method, etc.
- Update contact information and delivery preferences
- On-line chat with a customer service representative

## 9 Beneficiary Information | If you need more space, please enclose a separate sheet of paper.

### Primary

<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %
<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %
<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %

### Secondary

<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %
<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %
<input type="text"/> NAME	<input type="checkbox"/> Spouse <input type="checkbox"/> Non Spouse	<input type="text"/> SOCIAL SECURITY NUMBER	<input type="text"/> DATE OF BIRTH	<input type="text"/> %

Spousal Consent: If you name someone other than or in addition to your spouse as primary beneficiary and reside in a community or marital property state, including AZ, CA, ID, LA, NV, NM, TX, WA, and WI, your spouse must consent by signing below.

<input type="text" value="X"/> SIGNATURE OF SPOUSE	<input type="text"/> DATE
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## 10 Signature and Certification Required by the Internal Revenue Service

✓ I have read and understand the Disclosure Statement and Custodial Account Agreement document. I adopt the Disclosure Statement and Custodial Account Agreement document, as it may be revised from time to time, and appoint the Custodian or its agent to perform those functions and appropriate administrative services specified.

✓ I have received and understand the prospectus for the DoubleLine Funds (the "Fund"). I understand the Fund's objectives and policies and agree to be bound by the terms of the prospectus. Before I request an exchange, I will obtain the current prospectus for each Fund. I acknowledge and consent to the householding (i.e., consolidation of mailings) of regulatory documents such as prospectuses, shareholder reports, proxy statements, and other similar documents. I may contact the Fund to revoke my consent. I agree to notify the Fund of any errors or discrepancies within 45 days after the date of the statement confirming a transaction. The statement will be deemed to be correct, and the Fund and its transfer agent shall not be liable, if I fail to notify the Fund within such time period. I certify that I am of legal age and have the legal capacity to make this purchase. [If the Grantor is a minor under the laws of the Grantor's state of residence, a parent or guardian must sign the IRA Application (i.e., "Sally Doe, parent of Jane Doe"). Until the Grantor reaches the age of majority, the parent or guardian will exercise the duties of the Grantor. (If not a parent, the guardian must provide a copy of the letters of appointment.)]

✓ If I am opening a Traditional IRA with a distribution from an employer-sponsored retirement plan, I elect to treat the distribution as a partial or total distribution and certify that the distribution qualifies as a rollover contribution. I understand that the fees relating to my account may be collected by redeeming sufficient shares.

✓ I understand that my mutual fund account assets may be transferred to my state of residence if no activity occurs within my account during the inactivity period specified in my State's abandoned property laws.

✓ The Fund, its transfer agent, and any of their respective agents or affiliates will not be responsible for banking system delays beyond their control. By completing the banking sections of this application, I authorize my bank to honor all entries to my bank account initiated through U.S. Bank, N.A., on behalf of the applicable Fund. The Fund, its transfer agent, and any of their respective agents or affiliates will not be liable for acting upon instructions believed to be genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. When AIP or Telephone Purchase transactions are presented, sufficient funds must be in my account to pay them. I agree that my bank's treatment and rights to respect each entry shall be the same as if it were signed by me personally. I agree that if any such entries are not honored with good or sufficient cause, my bank shall be under no liability whatsoever. I further agree that any such authorization, unless previously terminated by my bank in writing, is to remain in effect until the Fund's transfer agent receives and has had reasonable amount of time to act upon a written notice of revocation. I authorize U.S. Bank Global Fund Services to obtain a third party report for the purposes of authenticating the bank information that I provided.

PRINTED NAME OF DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL

DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL'S SIGNATURE

DATE (MM/DD/YYYY)

**Please proceed to page 8 to review, complete and sign the U.S. Bank, N.A. IRA Custodian Application.**

## 11 SIMPLE IRA Plans Only

### Employer Information:

EMPLOYER (COMPANY) NAME

EMPLOYER STREET ADDRESS

EMPLOYER CITY / STATE / ZIP CODE

EMPLOYER CONTACT NAME

EMPLOYER CONTACT BUSINESS PHONE



# U.S. Bank, N.A. IRA Custodian Application

This application must be completed for all Traditional IRA, Roth IRA, SEP IRA, SIMPLE IRA, Minor IRA or Coverdell Education Savings Account (CESA) where U.S. Bank National Association will serve as custodian.

## 1 Investor Information

For Traditional IRA, Roth IRA, SEP IRA, and SIMPLE IRA accounts, the information provided in this section must be that of the account owner. For CESA and Minor IRA accounts, the information provided in this section must be that of the responsible party.

<input type="text"/>	<input type="text"/>	<input type="text"/>
FULL LEGAL FIRST NAME*	M.I.	LAST NAME
<input type="text"/>	<input type="text"/>	<input type="text"/>
SOCIAL SECURITY NUMBER	DATE OF BIRTH (MM/DD/YYYY)	

**\*If a full legal first name is not provided, a copy of a government issued document is required to accompany this application.**

### Permanent Street Address

Residential Address or Principal Place of Business - Foreign addresses and PO Boxes are not allowed.

<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE
<input type="text"/>	<input type="text"/>	
DAYTIME PHONE NUMBER	EVENING PHONE NUMBER	
<input type="text"/>		
E-MAIL ADDRESS		

### Mailing Address\* (if different from Permanent Address)

A PO Box may be used as the mailing address.

<input type="text"/>	<input type="text"/>	
STREET	APT / SUITE	
<input type="text"/>	<input type="text"/>	
CITY	STATE	ZIP CODE

## 2 Custodian Agreement

This Agreement governs your Traditional IRA, Roth IRA, SEP IRA, SIMPLE IRA, Minor IRA or Coverdell Education Savings Account (CESA) with us. U.S. Bank National Association will serve as the non-discretionary, directed custodian of your account. We do not provide fiduciary investment advice on your account.

To open a new account for a Traditional IRA, Roth IRA, SEP IRA, SIMPLE IRA, Minor IRA or CESA, you must complete and sign this Custodian Application as well as the Fund's application and return the applications to us.

### General Powers

We will have all powers necessary to carry out our duties under this Agreement, including (but not limited to) the following:

- (1) To authorize additional forms of acceptable written notice to us, including (as we may periodically determine) facsimile, e-mail or other methods.
- (2) To hold the assets of the Account without qualification or description in our name or the name of any nominee of us, or in any other form under which title will pass by delivery.
- (3) To exchange information about you, your Beneficiary(ies) and Account with affiliated entities, as permitted by applicable law and regulations.

- (4) To make, execute and deliver, as Custodian, any and all contracts, waivers, releases or other written documents with respect to the administration of the Account.
- (5) To employ recordkeepers and other agents and to delegate such duties to them as we see fit and to employ or consult with experts, advisors and legal counsel (who may be employed also by you or your Beneficiary(ies)) and to rely on the information and advice received. We will be reimbursed by the Account or you (or, if you die, your Beneficiary(ies)), for costs incurred by us when employing such parties.
- (6) To file class action litigation proofs of claim in respect to any of the assets of the Account.
- (7) To change the fee schedule at any time.

### **Indemnification**

- (1) You hereby agree to indemnify and release us, and hold us harmless from and against, and we will incur no liability to any person for, any harm that may be imposed on, incurred by, or asserted against us by reason of our action or omission in connection with this Agreement or the Account (including, but not limited to, an action or omission that is consistent with directions provided under this Agreement), except to the extent that a court of competent jurisdiction has made a final judgment that the harm resulted directly from our willful misconduct, gross negligence, bad faith, or material breach of this Agreement.
- (2) The foregoing provisions will survive the termination of this Agreement.

### **Limitations on Duties**

Our duties are strictly limited to those set forth in this Agreement, and no implied covenants, duties, responsibilities, representations, warranties, or obligations will be read into this Agreement against us. Without limiting the generality of the foregoing, we have no duty to:

- (1) Act as trustee of the IRA assets.
- (2) Inspect, review or examine any asset that is neither registered in the name of the account (with us designated as custodian), us (with or without custodial designation) or our nominee nor maintained by us at any central securities depository (such as the Depository Trust Company) or Federal Reserve Bank or with a sub-custodian nor held by us in unregistered or bearer form or in such form as will pass title by delivery, or governing, offering, subscription, or similar document with respect thereto, to determine whether the asset or document is authentic, genuine, enforceable, properly signed, appropriate for the represented purpose, is what it purports to be on its face, or for any other purpose, or to execute such document, or to take physical possession of such asset or document.
- (3) Question whether any direction received under this Agreement is prudent, consistent with the terms of this Agreement, or contrary to applicable law; to solicit or confirm directions; or to question whether any direction received under this Agreement by email, or entered into your account in an on-line portal, is unreliable or has been compromised.
- (4) Monitor service providers hired by you.
- (5) Maintain or defend any legal proceeding in the absence of indemnification, to our satisfaction, against all expenses and liabilities which we may sustain by reason thereof.
- (6) Advance funds or securities or otherwise expend or risk its own funds or incur its own liability in the exercise of its powers or rights or performance of its duties under this Agreement.

### **Change of Custodian**

#### **Qualification of Successor**

The successor custodian or trustee must be either a bank (as defined in Code section 408(n)) or a person who has IRS approval to serve in that capacity (as provided in Code section 408(h)).

## Payment to Successor

Once we receive written acceptance of appointment by the successor custodian or trustee, we will transfer and pay over to the successor the assets of the Account, less any amount allowed to be reserved under this Agreement.

## Successor Organizations

By designating a Custodian, original or successor, hereunder, there is included in such designation and as a part thereof any other corporation authorized by law to accept the Account into which or with which the designated Custodian, original or successor, is converted, consolidated or merged, and the corporation into which or with which any Custodian hereunder is so converted, consolidated or merged will continue to be the Custodian of the Account.

## Amendment or Termination of Agreement

### Power to Amend

You cannot amend this Agreement other than by changing an election or designation in the Application. You and your Beneficiary(ies) delegate to the Sponsor or its agent the power to amend this Agreement from time to time in any respect (unless otherwise expressly stated in this Agreement), without obtaining your approval or consent (or that of your Beneficiary(ies)). Either the Sponsor or its agent will furnish you a copy of any such amendment.

### Limitation on Amendments

This Agreement may not be amended in any manner that would cause or permit any part of the Account to be diverted to purposes other than for your (or your Beneficiary's(ies')) exclusive benefit.

### Termination

You may terminate this Agreement at any time by written notice to us.

Upon such termination, we will distribute the assets of the Account, less any amount reserved for payment of fees and expenses, to you (or your Beneficiary(ies)) or according to the directions of you (or your Beneficiary(ies)) and the provisions of this Agreement. We will comply with the directions we receive in proper form and will not be liable for any tax or any loss of any kind resulting from any action we take pursuant to such directions.

### Transfer

At your written direction, we will transfer the assets of the Account, less any amount reserved for payment of fees and expenses, directly to the custodian or trustee (or other funding agent) of another individual retirement plan or of a qualified employer or government plan. We have no duty to ascertain whether such direction is proper under the Code or under the provisions of the plan receiving the transfer. We will comply with your (or your Beneficiary's(ies')) directions and will not be liable for any tax or any loss of any kind resulting from any action we take pursuant to such directions.

## Initial Adoption or Revocation

- (1) Adoption of Agreement. This Agreement will be effective once the Application has been signed by you and accepted by us, as evidenced by a confirmation or an Account statement.
- (2) Revocation of Agreement. You may revoke this Agreement for any reason within seven calendar days after the date your signed applications are postmarked to us or, if earlier, the date we receive your initial payment to establish your IRA. If it is revoked during that period, the Agreement will be void from its inception, and we will return all assets you contributed and all fees you paid us. U.S. Bank National Association will not be responsible for any market losses or fees paid to us.
- (3) We may close the Account if it is not funded within ninety (90) days of the Account opening, or if the account is drawn to a \$0 balance.

### 3 Signature and Certification

By signing this application:

- ✓ You designate U.S. Bank National Association as the Custodian of your account. You have received, read and understand the Custodian Agreement (section 2) attached hereto.
- ✓ You agree to the terms and conditions of this Custodian Application, which includes the Custodian Agreement (section 2).
- ✓ You have read and understand the Disclosure Statement and Custodial Account Agreement document. You adopt the Disclosure Statement and Custodial Account Agreement document, as it may be revised from time to time, and appoint the Custodian or its agent to perform those functions and appropriate administrative services specified.
- ✓ You understand you have full responsibility for directing the investment of your account, and that no transactions will occur on your account unless you (or another person you have authorized) so direct. You acknowledge and agree that we do not provide services as a "fiduciary" under 29 U.S.C. Section 1002(21)(A)(ii), 26 U.S.C. Section 4975(e)(3)(B), 29 C.F.R. 2510.3-21, or 26 C.F.R. Section 54.4975-9 commonly known as "fiduciary investment advice." We will not provide fiduciary investment advice, and you will not construe or rely on any service provided by us to you as fiduciary investment advice. We are not your investment manager under 29 U.S.C. Section 1002(21)(A)(i) or 26 U.S.C. Section 4975(e)(3)(A). We neither have any discretionary authority or discretionary control respecting management of your IRA and any discretionary authority or discretionary responsibility in the administration of your IRA under 29 U.S.C. Section 1002(21)(A)(i) and (iii) or 26 U.S.C. Section 4975(e)(3)(A) and (C).
- ✓ You understand most investment products are not insured by the Federal Deposit Insurance Corporation ("FDIC"), are not deposits or other obligations of or guaranteed by us or our affiliates, and involve investment risks, including possible loss of the principal amount invested.
- ✓ You agree to disclose to U.S. Bank National Association if you, the beneficial owner(s), or authorized signer(s) is or becomes a "senior political figure, immediate family member, or close associate of a senior political figure" (as defined below), during the duration of the Custody Agreement.
  - A "senior political figure" is a domestic or foreign senior official in the executive, legislative, administrative, military or judicial branches of a government (whether elected or not), a senior official of a major political party, or a senior executive of a government-owned corporation. In addition, a senior political figure includes any corporation, business, or other entity that has been formed by, or for the benefit of, a senior political figure.
  - "Immediate family" of a domestic or foreign senior political figure typically includes the figure's parents, siblings, spouse, children, and in-laws.
  - A "close associate" of a domestic or foreign senior political figure is a person who is widely and publicly known to maintain an unusually close relationship with the senior political figure, and includes a person who is in a position to conduct domestic and international financial transactions on behalf of the senior political figure.
- ✓ You agree that the information you completed herein is true, accurate, and complete.

X

DEPOSITOR / LEGALLY RESPONSIBLE INDIVIDUAL'S SIGNATURE

DATE (MM/DD/YYYY)

Appointment as Custodian accepted:  
U.S. BANK NATIONAL ASSOCIATION



Gregory Farley  
Senior Vice President-Mutual Fund Operations

#### ! Before you mail, have you:

- Completed all USA PATRIOT Act required information on the IRA Application and U.S. Bank, N.A. Custodian Application?
  - Full Name
  - Social Security Number
  - Date of Birth
  - Permanent street address
- Enclosed your personal check made payable to the DoubleLine Funds?
- Included a voided check or savings deposit slip, if applicable?
- Signed the IRA Application and U.S. Bank, N.A. Custodian Application?
- Enclosed additional documentation, if applicable?

DOUBLELINE CLASS N FUNDS LIST

FUND	TICKER	FUND NUMBER
DoubleLine Core Fixed Income Fund Class N	DLFNX	2043
DoubleLine Emerging Markets Fixed Income Fund Class N	DLENX	2045
DoubleLine Emerging Markets Local Currency Bond Fund Class N	DLELX	6369
DoubleLine Flexible Income Fund Class N	DLINX	2357
DoubleLine Floating Rate Fund Class N	DLFRX	2055
DoubleLine Global Bond Fund Class N	DLGBX	5055
DoubleLine Income Fund Class N	DBLNX	5498
DoubleLine Infrastructure Income Fund Class N	BILTX	5083
DoubleLine Long Duration Total Return Bond Fund Class N	DLLDX	2686
DoubleLine Low Duration Bond Fund Class N	DLSNX	2051
DoubleLine Low Duration Emerging Markets Fixed Income Fund Class N	DELNX	2359
DoubleLine Shiller Enhanced CAPE Class N	DSENX	2227
DoubleLine Shiller Enhanced International CAPE Class N	DLEUX	6148
DoubleLine Strategic Commodity Fund Class N	DLCMX	2799
DoubleLine Total Return Bond Fund Class N	DLTNX	2041